

# Characterization of asymmetric CKI- and KP-digraphs with covering number at most 3<sup>☆</sup>



Hortensia Galeana-Sánchez<sup>a</sup>, Mika Olsen<sup>b,\*</sup>

<sup>a</sup> Instituto de Matemáticas, Universidad Nacional Autónoma de México, Circuito Exterior, Ciudad Universitaria, 04510 México DF, Mexico

<sup>b</sup> Departamento de Matemáticas Aplicadas y Sistemas, Universidad Autónoma Metropolitana - Cuajimalpa, Calle Artificios 40, col Hidalgo, 01120 México DF, Mexico

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## ABSTRACT

A set  $N \subseteq V(D)$  is said to be a kernel if  $N$  is an independent set and for every vertex  $x \in (V(D) \setminus N)$  there is a vertex  $y \in N$  such that  $xy \in A(D)$ . Let  $D$  be a digraph such that every proper induced subdigraph of  $D$  has a kernel.  $D$  is said to be *kernel perfect digraph* (KP-digraph) if the digraph  $D$  has a kernel and *critical kernel imperfect digraph* (CKI-digraph) if the digraph  $D$  does not have a kernel. In this paper we characterize the asymmetric CKI-digraphs with covering number at most 3. Moreover, we prove that the only asymmetric CKI-digraphs with covering number at most 3 are:  $\vec{C}_3$ ,  $\vec{C}_5$  and  $\vec{C}_7(1, 2)$ . Several interesting consequences are obtained.

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## 1. Introduction

For general concepts we refer the reader to [2,3]. The topic of domination in graphs has been widely studied by several authors, a very complete study of this topic is presented in [17,18]. The absorption in digraphs is the dual concept of domination, and it is defined as follows: Let  $D$  be a digraph, a set of vertices  $S \subseteq V(D)$  is an absorbing set if for every vertex  $w \in V(D) \setminus S$  there is an arc  $wv \in A(D)$  with  $v \in S$ . Absorbing independent sets in digraphs (kernels in digraphs) have found many applications in different topics of mathematics (for instance [19,20,12,13,23]) and they have been studied by several authors, interesting surveys of kernels in digraphs can be found in [8,13].

Let  $D$  be a digraph such that every proper induced subdigraph of  $D$  has a kernel.  $D$  is said to be *kernel perfect digraph* (KP-digraph) if the digraph  $D$  has a kernel and *critical kernel imperfect digraph* (CKI-digraph) if the digraph  $D$  does not have a kernel.

The perfect graphs were introduced by the Strong Perfect Conjecture stated by C. Berge in 1960. A graph  $G$  is called a *perfect graph* if, for each induced subgraph  $H$  of  $G$ , the chromatic number of  $H$  is equal to the maximum number of pairwise adjacent vertices in  $H$ . This conjecture states that a graph  $G$  is perfect if and only if  $G$  contains neither  $C_{2n+1}$  nor the complement of  $C_{2n+1}$ ,  $n \geq 2$ , as an induced subgraph and it was proved by M. Chudnovsky et al. (2006) [10]. Many authors have contributed to obtain nice properties and interesting characterizations of Perfect Graphs [4,22]. In 1986 C. Berge and P. Duchet conjectured that a graph  $G$  is perfect if and only if any orientation by sinks of  $G$  is a kernel perfect digraph. (If  $G$  is a graph, an orientation  $\vec{G}$  of  $G$  is a digraph obtained from  $G$  by directing each edge of  $G$  in at least one of the two possible directions. An orientation  $\vec{G}$  of  $G$  is called an *orientation by sinks* (or normal) if every semicomplete subgraph  $H$  of  $G$  has

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\* Corresponding author.

E-mail addresses: [olsen@correo.cua.uam.mx](mailto:olsen@correo.cua.uam.mx), [olsen.mika@gmail.com](mailto:olsen.mika@gmail.com) (M. Olsen).

an absorbing vertex in  $\vec{G}[V(H)]$ ). This Conjecture was proved in [5,9] and it constructs an important bridge between two topics in graph theory: namely colorings and kernels.

Let  $D$  be a digraph,  $V(D)$  and  $A(D)$  will denote the sets of vertices and arcs of  $D$  respectively. An arc  $uv \in A(D)$  is called asymmetric if  $vu \notin A(D)$ . The *asymmetric part* of  $D$ , denoted by  $Asym(D)$ , is the subdigraph of  $D$ , with vertex set  $V(D)$  and whose arcs are the asymmetric arcs of  $D$ . A *semicomplete digraph* is a digraph  $D$  such that there is at least one arc between any two vertices of  $V(D)$ .

The *covering number* of a digraph  $D$ , denoted  $\sigma(D)$ , is the minimum number of semicomplete digraphs of  $D$  that partition  $V(D)$ . Digraphs with a small covering number are a nice class of nearly tournament digraphs. The existence of kernels in the digraphs with covering number at most 3 has been studied by several authors, in particular by Berge [5], Maffray [21] and others [6,7,14,15].

In this paper, we study the CKI-digraphs  $D$  with covering number of  $D$  or  $Asym(D)$  at most 3. For the case when the covering number of  $D$  or  $Asym(D)$  is at most two, we use the connection between perfect graphs and it turns out, that the only CKI-digraphs with covering number at most two are orientations of perfect graphs. Hence, they are not orientations by sinks. In contrast, when the covering number is three, CKI-digraphs are not necessarily orientations of a perfect graph. Therefore, when the covering number of  $D$  or  $Asym(D)$  is 3, we cannot use the connection between perfect graphs and the kernels. Also, we characterize the CKI-digraphs and the KP-digraphs that satisfy that the covering number of (the asymmetric part of) any strongly connected component is at most 2.

## 2. Definitions and preliminaries

Let  $D$  be a digraph,  $V(D)$  and  $A(D)$  will denote the sets of vertices and arcs of  $D$  respectively. We denote the arc  $(u, v)$  by  $uv$ . For any  $v \in V(D)$ , we denote by  $N^+(v)$  and  $N^-(v)$  the *out-* and *in-neighborhood* of  $v$  in  $D$  respectively. All the paths, cycles and walks considered in this paper will be directed paths, cycles or walks of the digraph  $D$ . Let  $U, V$  be two disjoint subsets of  $V(D)$ , we denote by  $(U, V) = \{uv \in A(D) : u \in U, v \in V\}$ . If  $U = \{u\}$  (resp.  $V = \{v\}$ ), then  $(u, V)$  (resp.  $(U, v)$ ) denotes the set of arcs  $(U, V)$ .

A *tournament*  $T$  is a digraph such that there is exactly one arc between any two vertices of  $T$ . An *acyclic digraph* is a digraph without directed cycles. An acyclic tournament is called a *transitive tournament*. A vertex  $v \in V(D)$  *absorbs* the vertex set  $S \subset V(D)$  if  $sv \in A(D)$  for every  $s \in S$ . A vertex  $v \in V(D)$  is a *sink* of  $D$  if  $v$  absorbs the vertex-set  $V(D) \setminus \{v\}$ . A *sink ordering* of the vertex-set  $V(D)$  is a sequence  $(u_1, u_2, \dots, u_n)$ , where  $|V(D)| = n$ ,  $u_1$  is a sink of  $D$  and  $u_i$  is a sink of  $D \setminus \{u_1, u_2, \dots, u_{i-1}\}$  for every  $1 < i < n$  (in case that such an ordering can be defined). A tournament with a sink ordering is a transitive tournament and in this case the sink ordering is unique, but this is not necessarily true for a semicomplete digraph with a sink ordering. Let  $U$  be a subset of  $V(D)$ . We denote by  $D[U]$  the subdigraph of  $D$  induced by  $U$ . We say that a digraph  $D$  is *H-free* if  $D$  has no induced subdigraph isomorphic to  $H$ .

Let  $G$  be a graph. Following the notation of Berge and Duchet [5] an *orientation*  $\vec{G}$  of  $G$  is the digraph obtained by changing each edge with an asymmetric arc or symmetric arc. Let  $D$  be a digraph. The *underlying graph*  $G_D$  of  $D$  is the graph obtained by changing each asymmetric arc by an edge and each pair of symmetric arcs by an edge. The underlying graph of a digraph is a simple graph. Let  $G$  be a graph, the graph  $\bar{G}$  is the graph defined on the vertex-set  $V(G)$  and  $E(\bar{G}) = \{\{u, v\} : \{u, v\} \notin E(G)\}$ . We will need the following results.

**Proposition 1** ([16]). *If  $D$  is not KP, then  $D$  has an induced CKI-subdigraph.*

**Remark 1.** *If  $D$  is a CKI-digraph (or a KP-digraph), then  $D$  has no proper induced CKI-subdigraph. In particular  $Asym(D)$  has no proper subdigraph isomorphic to  $\vec{C}_3$ .*

**Theorem 1** ([11,16]). *Let  $D$  be a CKI-digraph. Then  $Asym(D)$  is strongly connected.*

**Theorem 2** ([2]). *If the tournament  $T$  is strongly connected, then  $T$  is pancyclic.*

A graph  $G$  is called a *perfect graph* if, for each subgraph  $H$  of  $G$  the chromatic number of  $H$  is equal to the maximum number of pairwise adjacent vertices in  $H$ .

The following theorem is well known. We use **Theorem 3** throughout this paper without mention it.

**Theorem 3** ([3]). *A graph  $G$  is perfect if and only if  $\bar{G}$  is a perfect graph.*

The following Theorem is a direct consequence of the results in [5,9].

**Theorem 4** ([5,9]). *A graph  $G$  is perfect if and only if any orientation by sinks of  $G$  is a KP-digraph.*

The *covering number* of a digraph  $D$ , denoted  $\sigma(D)$ , is the minimum number of semicomplete subdigraphs of  $D$  that partition  $V(D)$ . Let  $D$  be a digraph with covering number  $\sigma$ . Then there is a partition of  $V(D)$  into  $\sigma$  semicomplete subdigraphs of  $D$ , we call such a partition a *covering set* of  $D$ .

**Remark 2.** If  $D$  is a CKI-digraph with covering number  $\sigma$ , then the order of a kernel of  $D - x$  is at most  $\sigma$  for every  $x \in V(D)$ .

Let  $\mathbb{Z}_m$  be the cyclic group of integers modulo  $m (m \geq 1)$  and  $J$  a nonempty subset of  $\mathbb{Z}_m \setminus \{0\}$ . A circulant (or rotational) digraph  $\vec{C}_m(J)$  is defined by  $V(\vec{C}_m(J)) = \mathbb{Z}_m$  and

$$A(\vec{C}_m(J)) = \{(i, j) : i, j \in \mathbb{Z}_m, j - i \in J\}.$$

Recall that the circulant digraphs are regular and they are vertex transitive.

### 3. CKI-digraphs with covering number at most 2

In this section we characterize the asymmetric CKI-digraphs with covering number at most 2 and the CKI-digraphs (resp. KP-digraphs) for which  $Asym(D)$  has covering number at most 2. As a consequence, we characterize the KP-digraphs with the property that each strongly connected component  $W$  satisfies that  $Asym(W)$  has covering number at most 2.

Let  $D$  be a digraph with covering number 2. A covering set of  $D$  induces a partition of  $V(D)$  into two semicomplete digraphs. If  $\sigma(Asym(D)) = 2$ , then a covering set of  $Asym(D)$  induces a partition of  $V(D)$  into two tournaments and the set of symmetric arcs of  $D$  is a subset of the arcs of  $[U, V]$ . Therefore  $Sym(D)$  is a bipartite digraph.

As a consequence of the Proposition 1, we have the following.

**Lemma 1.** *Let  $D$  be an asymmetric CKI-digraph with covering number at least two. If  $U \subset V(D)$  such that  $D[U]$  is a tournament, then  $D[U]$  is a transitive tournament.*

By Lemma 1, a covering set of  $Asym(D)$  induces a partition into transitive tournaments.

**Theorem 5 ([3]).** *A semicomplete digraph  $D$  is kernel perfect if and only if each directed cycle has at least one symmetric arc.*

As a consequence of Theorem 5 and the fact that  $\vec{C}_m(1, \pm 2, \pm 3, \dots, \pm \lfloor \frac{m}{2} \rfloor)$  are CKI-digraphs [16], we have the following.

**Theorem 6.** *The only CKI-digraphs with covering number 1 are the circulant digraphs*

$$\vec{C}_m\left(1, \pm 2, \pm 3, \dots, \pm \lfloor \frac{m}{2} \rfloor\right).$$

The following result is a consequence of Theorems 3 and 4.

**Proposition 2.** *Let  $D$  be a digraph with  $\sigma(D) \leq 2$ . Then  $D$  is a KP-digraph if and only if  $D$  is a  $\vec{C}_3$ -free digraph.*

**Proof.** If  $D$  is a KP-digraph, then  $D$  is  $\vec{C}_3$ -free, by Remark 1. Let  $D$  be a  $\vec{C}_3$ -free digraph with covering number two. In order to prove that  $D$  is a KP-digraph, we prove that  $D$  is oriented by sinks and that the underlying graph of  $D$  is a perfect graph. So, the result follows from Theorem 4.

Every semicomplete subdigraph of  $D$  has a sink, because  $D$  is  $\vec{C}_3$ -free, hence  $D$  is oriented by sinks. Let  $G_D$  be the underlying graph of  $D$ , clearly  $G_D$  is a bipartite graph or  $K_p$  (the complement of the complete graph on  $p$  vertices), and so  $G_D$  is perfect. So,  $G_D$  is a perfect graph and  $D$  is an orientation by sinks of  $G_D$ , and then, by Theorem 4,  $D$  is a KP-digraph.  $\square$

**Corollary 1.** *There are no CKI-digraphs with covering number 2. Moreover,  $\vec{C}_3$ -free digraphs with covering number 2 are kernel perfect.*

**Corollary 2.** *Let  $D$  be a digraph with  $\sigma(Asym(D)) \leq 2$ . Then*

- (i)  $D$  is a CKI-digraph if and only if  $D \cong \vec{C}_3$  or  $D \cong \vec{C}_4(1, 2)$ .
- (ii)  $D$  is a KP-digraph if and only if  $D$  has no induced subdigraph isomorphic to  $\vec{C}_3$  nor isomorphic to  $\vec{C}_4(1, 2)$ .

**Proof.** If  $D$  is a digraph with  $\sigma(Asym(D)) \leq 2$ , then  $\sigma(D) \leq 2$ .

(i) By Theorem 6,  $\vec{C}_3$  and  $\vec{C}_4(1, 2)$  are CKI-digraphs. Let  $D$  be a CKI-digraph with  $\sigma(Asym(D)) \leq 2$ . By Corollary 1,  $\sigma(D) = 1$  and by Theorem 6, it follows that  $D \cong \vec{C}_m(1, \pm 2, \pm 3, \dots, \pm \lfloor \frac{m}{2} \rfloor)$ , since  $\sigma(Asym(D)) \leq 2$ ,  $m < 5$  and we are done.

(ii) Since  $\sigma(Asym(D)) \leq 2$ , an induced subdigraph of  $D$  has covering number at most 2. If  $D$  has no induced subdigraph isomorphic to  $\vec{C}_3$  nor isomorphic to  $\vec{C}_4(1, 2)$ , then by (i),  $D$  has no induced CKI-digraphs and  $D$  is a KP-digraph by Proposition 1. If  $D$  is a KP-digraph, then by Proposition 1,  $D$  has no induced subdigraph isomorphic to  $\vec{C}_3$  nor isomorphic to  $\vec{C}_4(1, 2)$ .  $\square$

**Corollary 3.** *Let  $D$  be a digraph such that the covering number of the asymmetric part of every strongly connected component is at most 2. Then*

- (i)  $D$  is a CKI-digraph if and only if  $D \cong \vec{C}_3$  or  $D \cong \vec{C}_4(1, 2)$ .
- (ii)  $D$  is a KP-digraph if and only if  $D$  has no induced subdigraph isomorphic to  $\vec{C}_3$  nor isomorphic to  $\vec{C}_4(1, 2)$ .

**Proof.** Let  $D$  be a digraph such that the covering number of the asymmetric part of every strongly connected component is at most 2.

(i) The circulant digraphs  $\vec{C}_3$  and  $\vec{C}_4(1, 2)$  are both CKI-digraphs by Theorem 6. Let  $D$  be a CKI-digraph. By Theorem 1,  $D$  is strongly connected, thus  $\sigma(\text{Asym}(D)) \leq 2$  and by Corollary 2, we are done.

(ii) Let  $D$  be a digraph as in the hypothesis without induced subdigraphs isomorphic to  $\vec{C}_3$  nor isomorphic to  $\vec{C}_4(1, 2)$ . Suppose, for a contradiction, that  $D$  is not KP. By Theorem 1,  $D$  has an induced CKI-subdigraph  $H$  and  $H$  has covering number at least 2 because  $D$  is  $\vec{C}_3$ -free. By Theorem 1,  $\text{Asym}(H)$  is strongly connected, so  $H$  is a subdigraph of a strongly connected component  $W$  of  $D$ . Thus,  $\sigma(\text{Asym}(H)) = 2$  and  $H \cong \vec{C}_3$  or  $H \cong \vec{C}_4(1, 2)$  by Corollary 2 which contradicts the hypothesis.  $\square$

As a summary for CKI-digraphs with covering number of asymmetric part at most two it was proved that there are exactly two CKI digraph with covering number at most two:  $\vec{C}_3$  and  $\vec{C}_4(1, 2)$ . These two digraphs shows that  $\vec{C}_3$  is the only asymmetric digraph with covering number at most two.

#### 4. Asymmetric CKI-digraphs with covering number 3

In this section we prove that the only two asymmetric CKI-digraphs with covering number 3 are  $\vec{C}_5$  and  $\vec{C}_7(1, 2)$ . It is easy to see that both  $\vec{C}_5$  and  $\vec{C}_7(1, 2)$  are asymmetric digraphs with covering number 3 and that  $\vec{C}_5$  is a CKI-digraph. It was proved by Duchet [11] that  $\vec{C}_7(1, 2)$  is a CKI-digraph.

Throughout this paper we use the following notations for asymmetric CKI-digraphs  $D$  with covering number three. Let  $U, V, W$  be a covering set of  $D$  since  $D$  is asymmetric, by Lemma 1,  $D[U], D[V]$  and  $D[W]$  are transitive tournaments. Let  $(u_n, u_{n-1}, \dots, u_1), (v_m, v_{m-1}, \dots, v_1)$  and  $(w_l, w_{l-1}, \dots, w_1)$  be the sink orderings of  $U, V$  and  $W$  respectively (notice that  $u_1, v_1$  and  $w_1$  are the sinks of  $D[U], D[V]$  and  $D[W]$  respectively).

In order to prove our main theorem, we analyze all the possibilities for  $D[\{u_1, v_1, w_1\}]$ . In Propositions 3 and 4 we analyze the possibilities for the case when  $D[\{u_1, v_1, w_1\}]$  has at least two arcs and a sink or a source, in Proposition 5 we analyze the case when  $D[\{u_1, v_1, w_1\}]$  is a path of length two and in Proposition 6, when  $D[\{u_1, v_1, w_1\}]$  has exactly one arc.

The following remark is a consequence of Theorem 1. We use Remark 3 throughout this paper without mentioning it.

**Remark 3.** Let  $D$  be an asymmetric CKI-digraph with covering number three, and let  $U, V, W$  be a covering set of  $D$  into transitive tournaments, with  $u_1, v_1$  and  $w_1$  the sinks of  $U, V$  and  $W$  respectively. Then  $d^+(u_1) \neq 0, d^+(v_1) \neq 0$  and  $d^+(w_1) \neq 0$ .

Since  $D$  is  $\vec{C}_3$ -free, we have the following.

**Lemma 2** ([1]). *Let  $D$  be a CKI-digraph and let  $K$  be a kernel of  $D - \{v\}$  where  $v$  is a vertex of  $D$ . Then there is no arc from  $v$  to  $K$  and there is some arc from  $K$  to  $v$ .*

**Lemma 3.** *Let  $D$  be an asymmetric CKI-digraph with covering number three, and let  $U, V, W$  be a covering set of  $D$ . Let  $u_i, v_j$  be an independent set that absorbs the vertices of  $U \setminus u_i \cup V \setminus v_j$  and suppose that  $w_1 u_i \in A(D)$ . If  $\alpha$  is the smallest integer such that  $w_\alpha u_i \notin A(D)$ , then  $v_j w_\alpha \in A(D)$ .*

**Proof.** Let  $D$  be a digraph that satisfies the conditions of the Lemma, and  $u_i, v_j \in V(D)$  such that  $u_i, v_j$  absorbs the vertices of  $U \setminus u_i \cup V \setminus v_j$ . If  $w u_i \in A(D)$  for every  $w \in W$ , then  $\{u_i, v_j\}$  is a kernel of  $D$ , which is a contradiction. Let  $\alpha$  be the smallest integer such that  $w_\alpha u_i \notin A(D)$ . Then  $\{u_i, w_\alpha\}$  is an independent set, by the path  $(w_\alpha, w_1, u_i)$ . If  $\{v_j, w_\alpha\}$  is an independent set, then  $K = \{u_i, v_j, w_\alpha\}$  is a kernel of  $D$ , so  $\{v_j, w_\alpha\}$  is not an independent set.

In order to prove that  $v_j w_\alpha \in A(D)$ , we suppose, for a contradiction, that  $w_\alpha v_j \in A(D)$ . Then  $K_1 = \{u_i, v_j\}$  absorbs the vertices of  $U \setminus u_i \cup V \setminus v_j \cup \{w_1, w_2, \dots, w_\alpha\}$ . Moreover, since  $D$  is  $\vec{C}_3$ -free,  $w_1 u_i \in A(D)$  and  $w_\alpha v_j \in A(D)$ , then

$$u_i w_k, v_j w_k \notin A(D) \quad \text{for } \alpha < k \leq l. \tag{1}$$

If there is a vertex  $w \in W$  such that  $\{u_i, v_j, w\}$  is an independent set, then let  $\beta$  be the smallest integer such that  $\{u_i, v_j, w_\beta\}$  is an independent set. By the choice of  $\alpha$  we have that  $\beta > \alpha$ , and by the choice of  $\beta$  and by (1), there is an  $(w_k, K_1)$ -arc for every  $k, \alpha < k \leq \beta$ , which lead us to the contradiction that  $K_1$  is a kernel of  $D$ . Then for every  $k, \alpha < k \leq n$ , there is an arc between  $w_k$  and some vertex in  $K_1$  and by (1), this arc must be an  $(w_k, K_1)$ -arc. Thus  $K_1$  is a kernel of  $D$ , which is a contradiction, so  $w_\alpha v_j \notin A(D)$ , and since  $\{v_j, w_\alpha\}$  is not an independent set, then  $v_j w_\alpha \in A(D)$ .  $\square$

**Proposition 3.** *Let  $D$  be an asymmetric CKI-digraph with covering number three. If  $|A(D[\{u_1, v_1, w_1\}])| \geq 2$ , then  $D[\{u_1, v_1, w_1\}]$  has no sink.*

**Proof.** Let  $D$  be an asymmetric CKI-digraph with covering number three, and let  $U, V, W$  be a covering set of  $D$  into tournaments, with  $u_1, v_1$  and  $w_1$  the sinks of  $U, V$  and  $W$  respectively. Suppose, for a contradiction, that  $D[\{u_1, v_1, w_1\}]$  has a sink. Without loss of generality we assume that  $\{u_1 v_1, w_1 v_1\} \subseteq A(D)$ . If  $u_i v_1, w_j v_1 \in A(D)$  for  $1 < i \leq l$  and  $1 < j \leq n$ ,

then  $K = \{v_1\}$  is kernel of  $D$  which contradicts that  $D$  is a CKI-digraph. By symmetry, we may assume that there is a vertex  $u \in U$  such that  $uv_1 \notin A(D)$ , let  $\alpha$  be the smallest integer such that  $u_\alpha v_1 \notin A(D)$ . Then,  $\{u_\alpha, v_1\}$  is an independent set, by the path  $(u_\alpha, u_1, v_1)$ . Let  $K_1 = \{u_\alpha, v_1\}$ ;  $K_1$  absorbs the vertices of  $U \setminus u_\alpha \cup V \setminus v_1$ . If  $w_j v_1 \in A(D)$  for  $1 < j \leq n$ , then  $K_1$  is kernel of  $D$ . Otherwise, let  $\beta$  be the smallest integer such that  $w_\beta v_1 \notin A(D)$ . Then  $\{v_1, w_\beta\}$  is an independent set, by the path  $(w_\beta, w_1, v_1)$ . By Lemma 3,  $u_\alpha w_\beta \in A(D)$ . Analogously if we consider  $K_2 = \{w_\beta, v_1\}$ , then  $K_2$  absorbs the vertices of  $V \setminus v_1 \cup W \setminus w_\beta$  and by Lemma 3,  $w_\beta u_\alpha \in A(D)$ , which contradicts that  $D$  is asymmetric. So,  $D[\{u_1, v_1, w_1\}]$  has no sink.  $\square$

**Proposition 4.** *Let  $D$  be an asymmetric CKI-digraph with covering number three. If  $|A(D[\{u_1, v_1, w_1\}])| \geq 2$ , then  $D[\{u_1, v_1, w_1\}]$  has no source.*

**Proof.** Let  $D$  be an asymmetric CKI-digraph with covering number three, and let  $U, V, W$  be a covering set of  $D$  in tournaments, with  $u_1, v_1$  and  $w_1$  the sinks of  $U, V$  and  $W$  respectively.

Suppose, for a contradiction, that  $D[\{u_1, v_1, w_1\}]$  has a source. Without loss of generality we assume that  $\{v_1 u_1, v_1 w_1\} \subseteq A(D)$ , if  $\{u_1, w_1\}$  is not independent, then by Proposition 3 we are done. Therefore  $A(D[u_1, v_1, w_1]) = \{v_1 u_1, v_1 w_1\}$ . Since  $D$  is asymmetric and  $\vec{C}_3$ -free, then

$$u_1 v_i, w_1 v_i \notin A(D) \quad \text{for } 1 \leq i \leq m. \tag{2}$$

Let  $K_1 = \{u_1, w_1\}$ .  $K_1$  absorbs the vertices of  $U \setminus u_1 \cup W \setminus w_1 \cup \{v_1\}$ . If there is a vertex  $v \in V$  such that  $\{u_1, v, w_1\}$  is an independent set, then let  $\alpha$  be the smallest integer such that  $\{u_1, v_\alpha, w_1\}$  is an independent set. In this case  $K_1$  absorbs the vertex set  $(U \setminus u_1) \cup (W \setminus w_1) \cup \{v_1, v_2, \dots, v_{\alpha-1}\}$ , and  $\{u_1, v_\alpha, w_1\}$  is a kernel of  $D$ , which is a contradiction. So for every vertex  $v_i \in V$  there is an arc between  $v_i$  and some vertex in  $K_1$ . By (2) it must be an  $(v_i, K_1)$ -arc and  $K_1$  is a kernel of  $D$ , which is a contradiction. So,  $D[\{u_1, v_1, w_1\}]$  has no source.  $\square$

**Lemma 4.** *Let  $D$  be an asymmetric CKI-digraph with covering number three, with  $|U| = n, |V| = m$  and  $|W| = l$ . If  $D[\{u_1, v_1, w_1\}]$  is a path of length 2, then there exists a covering set of  $D$  in tournaments  $U', V', W'$  with  $|U'|, |V'|, |W'| \geq 2$ .*

**Proof.** By Remark 3, the CKI-digraph  $D$  satisfies that  $d^+(w_1) > 0$ . If  $n = 1$ , then  $d^+(w_1) = 0$  because  $(w_1, \{u_1\} \cup V \cup W) = \emptyset$ , so  $n > 1$ . If  $m = 1$ , then  $\{u_1, w_1\}$  is kernel of  $D$ , so  $m > 1$ .

Suppose for a contradiction that  $|W| = 1$ . We will construct a covering set with the required properties. Let  $N_w$  be the kernel of  $D - \{w_1\}$ . Since  $(v_1, U \cup V) = \emptyset$ , then  $v_1 \in N_w$ . Let  $\alpha$  be the minimum integer such that  $w_1 u_\alpha \in A(D)$  (such an  $\alpha$  does exist because  $d^+(w_1) > 0$ ). By the 4-cycle  $(u_1, v_1, w_1, u_\alpha, u_1)$ , it follows that  $\{u_\alpha, v_1\}$  is independent. Moreover,  $u_i v_1 \in A(D)$  for  $i < \alpha$ , so  $N_w = \{u_\alpha, v_1\}$ , which is a contradiction because in this case  $N_w$  is a kernel of  $D$ .  $\square$

**Proposition 5.** *Let  $D$  be an asymmetric CKI-digraph with covering number three. If  $D[\{u_1, v_1, w_1\}]$  is a path of length 2, then  $D \cong \vec{C}_5$  or  $D \cong \vec{C}_7(1, 2)$ .*

**Proof.** Let  $D$  be an asymmetric CKI-digraph with covering number three, and let  $U, V, W$  be a covering set of  $D$  in tournaments, with  $u_1, v_1$  and  $w_1$  the sinks of  $U, V$  and  $W$  respectively and without loss of generality we assume that  $A(D[\{u_1, v_1, w_1\}]) = \{u_1 v_1, v_1 w_1\}$  (notice that the vertex set  $\{u_1, w_1\}$  is an independent set). By Lemma 4, we may assume that  $|U| = n, |V| = m, |W| = l$  and  $n, m, l \geq 2$ . By the paths  $(u_i, u_1, v_1)$  and  $(v_i, v_1, w_1)$ ,

$$(a) \quad u_1 u_i \notin A(D) \quad \text{for every } 1 \leq i < n, \quad (b) \quad w_1 v_i \notin A(D) \quad \text{for every } 1 \leq i < m. \tag{3}$$

Since  $D$  is a CKI-digraph, the digraph  $D - \{w_1\}$  does have a kernel. Let  $N_w$  be a kernel of  $D - \{w_1\}$ . By Lemma 2,  $w_i \notin N_w$ . By (3)(a) and the fact that  $v_1$  is sink of  $D[V]$ ,  $v_1 \in N_w$ .

Since  $|W| > 1$ ,  $w_1 \notin N_w$  and then by the arc  $v_1 w_1$ , there is a vertex  $u_\alpha \in N_w \cap U$  such that  $w_1 u_\alpha \in A(D)$  and  $\alpha > 1$ . Then  $N_w = \{u_\alpha, v_1\}$  and by the definition of  $N_w$ , Lemma 1 and the arc  $v_1 w_1$ , we have that  $u_i v_1 \in A(D)$  for every  $i < \alpha$  and  $w_1 u_\alpha \in A(D)$ . Since  $N_w$  is a kernel of  $D - \{w_1\}$ , the path  $(w_1, w_1, u_\alpha)$  and Lemma 2, it follows that

$$(a) \quad \{u_\alpha, w_1\} \text{ is independent,} \quad (b) \quad u_i v_1 \in A(D) \quad \text{for } 1 \leq i < \alpha, \quad (c) \quad v_1 w_l \in A(D). \tag{4}$$

By the path  $(v_1, w_1, w_i)$  and the definition of  $N_w$ , it follows that

$$w_i u_\alpha \in A(D) \quad \text{for } i < l. \tag{5}$$

Consider the digraph  $D - \{v_1\}$ . Since  $D$  is a CKI-digraph, the digraph  $D - \{v_1\}$  does have a kernel. Let  $N_v$  be a kernel of  $D - \{v_1\}$ . By assumption, (4)(c) and the definition of  $N_v$ ,

$$w_1, w_l \notin N_v. \tag{6}$$

By (3)(b),  $w_1$  must be absorbed by some  $u_i \in U, i > 1$ . Let  $u_\beta = N_v \cap U$  and  $w_1 u_\beta \in A(D)$ . By (4)(c), for  $i < \alpha$ , the path  $(u_i, v_1, w_1)$ , leads to  $\beta \geq \alpha > 1$ . Note that  $N_v \neq \{u_\beta\}$ , because  $\beta > 1$  and  $u_1 u_\beta \notin A(D)$ .

By the path  $(w_i, u_\alpha, u_1)$ ,  $u_1 w_i \notin A(D)$  for every  $i < l$  and so, by (6),  $N_v \cap V \neq \emptyset$ , else  $(u_1, N_v) = \emptyset$ . Let  $N_v \cap V = \{v_\gamma\}$ . By the choice of  $N_v, \gamma > 1$ . By the definition of  $N_v$  and the path  $(v_\gamma, v_1, w_l)$ ,

$$(a) \quad u_1 v_\gamma \in A(D), \quad (b) \quad w_l v_\gamma \notin A(D). \tag{7}$$

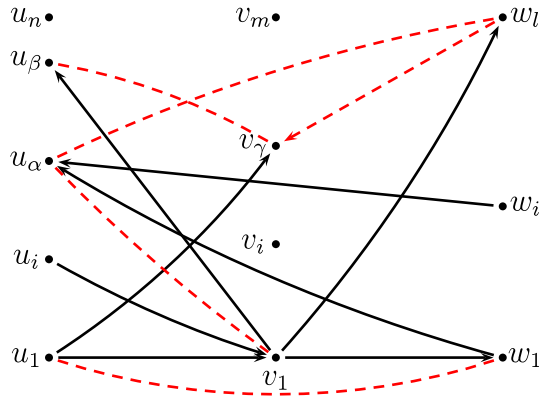


Fig. 1. Proposition 5.

If  $\alpha = \beta$ , then  $w_l$  is not absorbed by the vertex set  $\{u_\beta, v_\gamma\}$ . By (6),  $w_l$  must be absorbed by  $N_v$ , so  $N_v \cap W \neq \emptyset$ . Let  $N_v \cap W = \{w_\delta\}$ , notice that  $1 < \delta < l$ , by (6). In this case,  $N_v = \{u_\beta, v_\gamma, w_\delta\}$  which contradicts (5).

We may assume that  $\alpha < \beta$ .

By the 4-cycle  $(u_i, v_1, w_1, u_\beta, u_i)$ , for  $i < \alpha$ , the set  $\{u_\beta, v_1\}$  is independent and

$$\{u_i, w_1\} \text{ is independent, for } i < \alpha. \tag{8}$$

In Fig. 1, we show the arcs that must be in the digraph  $D$ . With dashed lines we indicate the independent sets as well as the arcs that are not arcs in  $D$ .

**Claim 1.** If  $N_v = \{u_\beta, v_\gamma\}$ , then  $D \cong \vec{C}_5$  or  $D \cong \vec{C}_7(1, 2)$ .

Let  $N_v = \{u_\beta, v_\gamma\}$ . By (7)(b),  $w_l u_\beta \in A(D)$ ,  $u_i v_\gamma \in A(D)$ , for every  $i < \beta$ ; and from the 4-cycle  $(u_\alpha, v_\gamma, v_1, w_1, u_\alpha)$  it follows that  $\{v_\gamma, w_1\}$  is independent. If  $v_\gamma w_l \notin A(D)$ , then  $\{v_\gamma, w_l\}$  is independent by the path  $(v_\gamma, v_1, w_l)$ . In this case  $(u_\beta, u_\alpha, v_\gamma, v_1, w_1, u_\beta)$  is an induced 5-cycle and by Remark 1,  $D \cong \vec{C}_5$ .

So, we may assume that  $v_\gamma w_l \in A(D)$ . By the 4-cycle  $(w_l, u_\beta, u_1, v_1, w_l)$  it follows that  $\{u_1, w_l\}$  is independent. In this case  $(u_\beta, u_\alpha, u_1, v_\gamma, v_1, w_1, u_\beta)$  induces a  $\vec{C}_7(1, 2)$  and by Remark 1,  $D \cong \vec{C}_7(1, 2)$ . And Claim 1 is true.  $\square$

We may assume that  $N_v \cap W \neq \emptyset$ . Let  $N_v = \{u_\beta, v_\gamma, w_\delta\}$ . By (6),  $1 < \delta < l$  and hence  $w_\delta u_\alpha \in A(D)$ . By the path  $(v_1, w_l, w_\delta)$  and the definition of  $N_v$ ,  $\{v_1, w_\delta\}$  is independent. Hence, by definition of  $N_v$  and the arc  $w_\delta u_\alpha$ ,

$$(a) \ u_1 v_\gamma \in A(D), \quad (b) \ u_\alpha v_\gamma \in A(D). \tag{9}$$

By the 4-cycle  $(u_\alpha, v_\gamma, v_1, w_1, u_\alpha)$ ,  $\{v_\gamma, w_1\}$  is independent.

If  $v_\gamma w_l \notin A(D)$ , then  $\{v_\gamma, w_l\}$  is independent by the path  $(v_\gamma, v_1, w_l)$ . In this case,  $(u_\alpha, v_\gamma, v_1, w_l, w_\delta, u_\alpha)$  is an induced 5-cycle and by Remark 1,  $D \cong \vec{C}_5$ . We may assume that  $v_\gamma w_l \in A(D)$ .

If  $w_l u_\beta \in A(D)$ , then  $(u_1, v_1, w_l, u_\beta, u_1)$  is a 4-cycle and  $\{u_1, w_l\}$  is independent. In this case, by (9)(a),  $(u_\beta, u_\alpha, u_1, v_\gamma, v_1, w_1, w_l, u_\beta)$  induces a  $\vec{C}_7(1, 2)$  and by Remark 1,  $D \cong \vec{C}_7(1, 2)$ . We may assume that  $w_l u_\beta \notin A(D)$ . By the path  $(w_l, w_1, u_\beta)$ ,  $\{u_\beta, w_l\}$  is independent.

If  $u_1 w_l \notin A(D)$ , then  $\{u_1, w_l\}$  is independent by the path  $(u_1, v_1, w_l)$ . In this case, by (9)(a),  $(u_\beta, u_1, v_\gamma, w_l, w_1, u_\beta)$  is an induced 5-cycle and by Remark 1,  $D \cong \vec{C}_5$ . We may assume that

$$u_1 w_l \in A(D). \tag{10}$$

By the 4-cycle  $(u_1, w_l, w_i, u_\alpha, u_1)$ ,  $\{u_1, w_i\}$  is independent for  $i < l$ .

Consider the digraph  $D - \{u_1\}$ . Since  $D$  is a CKI-digraph, the digraph  $D - \{u_1\}$  does have a kernel. Let  $N_u$  be a kernel of  $D - \{u_1\}$ .

By assumption, (9)(b) and (10),

$$v_1, v_\gamma, w_l \notin N_u. \tag{11}$$

By (3)(a) and the fact that  $v_1$  is sink of  $V$  it follows that  $(v_1, \{U \cup V\}) = \emptyset$ , in this case,  $N_u \cap W \neq \emptyset$  by (11). Let  $w_\epsilon \in N_u$  for some  $\epsilon < l$  and  $v_1 w_\epsilon \in A(D)$ . By (7)(a) and the paths  $(w_\epsilon, u_\alpha, v_\gamma)$  and  $(u_i, u_1, v_\gamma)$ , it follows that  $(v_\gamma, \{U \cup \{w_\epsilon\}\}) = \emptyset$ . Then  $N_u \cap V \neq \emptyset$ , by (11) and  $v_\zeta \in N_u$  for some  $\zeta < \gamma$ .

By (5),  $w_\epsilon u_\alpha \in A(D)$ , so  $u_\alpha \notin N_u$  and then,  $(u_\alpha, N_u)$  must be non empty. If  $u_\alpha v_\zeta \in A(D)$ , then by the paths  $(w_\delta, u_\alpha, v_\zeta)$  and  $(u_\beta, u_\alpha, v_\zeta)$ , it follows that  $(v_\zeta, \{u_\beta, w_\delta\}) = \emptyset$ , which contradicts that  $N_v$  is a kernel of  $D - \{v_1\}$ , because  $\zeta > 1$  by (11). So  $u_\alpha v_\zeta \notin A(D)$ . By (4)(a) and (5), it follows that  $(u_\alpha, \{v_\zeta, w_\epsilon\}) = \emptyset$ . So,  $N_u \cap U \neq \emptyset$  and let  $u_\eta \in N_u$  for some  $\eta < \alpha$ . Hence  $N_u = \{u_\eta, v_\zeta, w_\epsilon\}$ .

If  $\epsilon > 1$ , then by (3)(b) and (8)(b), it follows that  $(w_1, N_u) = \emptyset$ , which is a contradiction, so  $\epsilon = 1$  and  $N_u = \{u_\eta, v_\zeta, w_1\}$ .

We will prove that  $N = \{u_1, v_\zeta, w_1\}$  is kernel of  $D$ . By the definition of the kernel  $N_u$  of  $D - \{u_1\}$  and the path  $(u_1, v_\gamma, v_\zeta)$  it follows that  $N = \{u_1, v_\zeta, w_1\}$  is independent. Moreover,  $N$  absorbs  $U \cup \{v_i : i > \zeta\} \cup W$ . In order to prove that  $N$  absorbs the vertices  $v_i, 1 \leq i < \zeta$ , we prove that  $v_i w_1 \in A(D)$  for every  $1 \leq i < \zeta$ . By definition of  $N_v$  (kernel of  $D - \{v_1\}$ ) and the fact that  $w_\delta u_\alpha \in A(D)$ , it follows that  $u_i v_\gamma \in A(D)$  for every  $i < \alpha$  and so, by the path  $(u_\eta, v_\gamma, v_i)$  for  $i < \zeta$ , we have that  $v_i u_\eta \notin A(D)$ . By the definition of  $N_u$ , it follows that  $v_i w_1 \in A(D)$  for every  $1 \leq i < \zeta$ . Hence  $N = \{u_1, v_\zeta, w_1\}$  is a kernel of  $D$ , which contradicts that  $D$  is a CKI-digraph.

So, we are done.  $\square$

**Lemma 5.** Let  $D$  be an asymmetric CKI-digraph with covering number three, with  $|U| = n, |V| = m$  and  $|W| = l$ . If  $|A(D[\{u_1, v_1, w_1\}])| = 1$ , then  $D \cong \vec{C}_5$  or  $D \cong \vec{C}_7(1, 2)$  or there exists a covering set of  $D$  in tournaments  $U', V', W'$  with  $|U'|, |V'|, |W'| \geq 2$ .

**Proof.** Without loss of generality, we assume that  $A(D[\{u_1, v_1, w_1\}]) = \{u_1 v_1\}$ . If  $v_1 u_i \in A(D)$ , then  $(v_1, u_i, u_1, v_1)$  is a  $C_3$ , moreover  $N^+(v_1) \subset W$  because  $v_1$  is a sink of  $V$ . Since  $v_1 w_1 \notin A(D)$  and  $d^+(v_1) > 0$ , then  $l > 1$ .

If  $n = 1$ , then  $\{v_1, w_1\}$  is a kernel of  $D$ . So  $n > 1$ .

Suppose by contradiction that  $m = 1$ .

**Claim 2.** If  $n = 2$ , then  $D \cong \vec{C}_5$ .

The set  $\{u_2, v_1\}$  is independent, else the covering number of  $D$  is two. Since  $\{u_1, w_1\}$  and  $\{v_1, w_1\}$  are independent sets and  $d^+(w_1) > 0$ , then  $w_1 u_2 \in A(D)$ .

Let  $N_1$  be the kernel of  $D - \{u_1\}$ . In this case  $v_1 \notin N_1$ , so,  $v_1$  must be absorbed by  $N_1$ , and then  $N_1 \cap W = \emptyset$ . Let  $w_\alpha \in N_1$ . Since  $\{v_1, w_1\}$  is independent,  $\alpha > 1$ . In this case  $w_1$  must be absorbed by  $N_1$  and  $N_1 \cap U \neq \emptyset$ . Since  $\{u_1, w_1\}$  is independent,  $u_2 \in N_1$  and  $N_1 = \{u_2, w_\alpha\}$ . By the definition of  $N_1, \{u_2, w_\alpha\}$  is an independent set. By Lemma 2 and the path  $(u_1, v_1, w_\alpha), \{u_1, w_\alpha\}$  is an independent set. In this case  $(u_2, u_1, v_1, w_\alpha, w_1, u_2)$  is a induced 5-cycle and by Remark 1,  $D \cong \vec{C}_5$ .  $\square$

Thus, we assume that  $n > 2$ .

If  $u_i v_1 \in A(D)$  for every  $i \leq n$ , then the covering number of  $D$  is two, which is a contradiction. Let  $\beta$  be the smallest integer such that  $u_\beta v_1 \notin A(D)$ , then  $\{u_\beta, v_1\}$  is independent. If  $\beta < n$ , then  $U' = \{w_1, w_2, \dots, w_l\}, V' = \{u_\beta, \dots, u_n\}, W' = \{v_1, u_1, u_2, \dots, w_{\beta-1}\}$  is a covering set with  $|U'| > 1, |V'| > 1$  and  $|W'| > 1$  and  $A(D[u'_1, v'_1, w'_1]) = (u'_1, v'_1)$ .

Thus we may assume that  $\beta = n$ .

Let  $N_w$  be kernel of  $D - \{w_1\}$ , then  $N_w \cap W = \emptyset$ . In this case  $v_1 \in N_w$ . Since  $w_1 v_1 \notin A(D), N_w \cap U \neq \emptyset$  and  $N_w = \{u_n, v_1\}$  because  $u_i v_1 \in A(D)$  for every  $i < n$ . Furthermore,  $w_1 u_n \in A(D)$ . By Lemma 2 and the path  $(w_l, w_1, u_n), \{u_n, w_1\}$  is an independent set and  $v_1 w_l \in A(D)$ . By the path  $(v_1, w_l, w_i), w_i v_1 \notin A(D)$  for  $i < l$ . By the definition of  $N_w$ , it follows that  $w_i u_n \in A(D)$  for  $i < l$ . If  $u_1 w_l \notin A(D)$ , then  $(u_n, u_1, v_1, w_l, w_1, u_n)$  is an induced  $\vec{C}_5$  and by Remark 1,  $D \cong \vec{C}_5$ . So  $u_1 w_l \in A(D)$  and  $U' = \{v_1, u_2, \dots, u_{n-1}\}, V' = \{w_l, u_1\}, W' = \{u_n, w_1, w_2, \dots, w_{l-1}\}$  is a covering set with the property that  $|U'|, |V'|, |W'| \geq 2$  and  $A(D[u'_1, v'_1, w'_1]) = (u'_1, v'_1)$ .  $\square$

**Proposition 6.** Let  $D$  be an asymmetric CKI-digraph with covering number three. If  $|A(D[\{u_1, v_1, w_1\}])| = 1$ , then  $D \cong \vec{C}_5$  or  $D \cong \vec{C}_7(1, 2)$ .

**Proof.** Let  $D$  be an asymmetric CKI-digraph with covering number three, and let  $U, V, W$  be a covering set of  $D$  in tournaments, with  $u_1, v_1$  and  $w_1$  the sinks of  $U, V$  and  $W$  respectively, in view of Lemma 5, we will assume that  $|U|, |V|, |W| > 1$ . Let  $A(D[\{u_1, v_1, w_1\}]) = \{u_1 v_1\}$ . Do note that  $\{u_1, w_1\}$  and  $\{v_1, w_1\}$  are independent sets. By the path  $(u_k, u_1, v_1)$ ,

$$(v_1, U) = \emptyset. \tag{12}$$

Let  $N_w$  be a kernel of  $D - \{w_1\}$ .

**Claim 3.**  $N_w = \{u_\alpha, v_1\}$  for some  $\alpha > 1$ .

By Lemma 2,  $w_i \notin N_w$ , thus by Remark 2,  $|N_w| < 3$ . If  $|N_w| = 1$ , then  $N_w = \{v_1\}$ , by (12) and the fact that  $v_1$  is sink of  $V$ . In this case  $(w_1, N_w) = \emptyset$  and  $N_w$  is not a kernel of  $D - \{w_1\}$  (by Lemma 5,  $l > 1$ ), which is a contradiction, so  $|N_w| = 2$ .

By Lemma 2 and (12), it follows that  $N_w = \{u_\alpha, v_1\}$  for some  $\alpha > 1$ , which proves Claim 3.  $\square$

By definition of  $N_w, \{u_\alpha, v_1\}$  is independent. So,

$$(a) u_i v_1 \in A(D) \text{ for any } 1 \leq i < \alpha, \quad (b) w_1 u_\alpha \in A(D). \tag{13}$$

By Lemmas 2 and 5 and the path  $(w_l, w_1, u_\alpha)$ ,

$$(a) \{u_\alpha, w_l\} \text{ is independent,} \quad (b) v_1 w_l \in A(D). \tag{14}$$

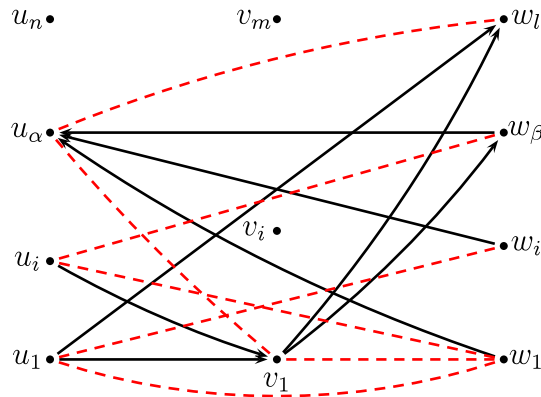


Fig. 2. Proposition 6.

By the path  $(v_1, w_l, w_i)$ , it follows that  $w_i v_1 \notin A(D)$ . Then, by Lemma 5 and Claim 3,

$$w_i u_\alpha \in A(D) \quad \text{for any } 1 \leq i < l. \tag{15}$$

If  $u_1 w_l \notin A(D)$ , then by the path  $(u_1, v_1, w_l)$ ,  $\{w_l, u_1\}$  is independent. In this case  $(u_1, v_1, w_l, w_1, u_\alpha, u_1)$  is an induced  $\vec{C}_5$  and by Remark 1,  $D \cong \vec{C}_5$ .

Thus, we assume that

$$u_1 w_l \in A(D). \tag{16}$$

By the 4-cycle  $(u_1, w_l, w_i, u_\alpha, u_1)$  and the paths  $(u_i, u_1, w_l)$  and  $(v_i, v_1, w_l)$ ,

$$(a) \{u_1, w_i\} \text{ is independent for } i < l \quad (b) (w_l, U \cup V) = \emptyset. \tag{17}$$

Let  $N_1$  be a kernel of  $D - \{u_1\}$ . By definition of  $N_1$ ,

$$v_1, w_l \notin N_1. \tag{18}$$

By (12), (17)(b) and (18) there exists an integer  $\beta$  such that,

$$(a) w_\beta \in N_1 \quad \text{for some } 1 < \beta < l, \quad (b) v_1 w_\beta \in A(D). \tag{19}$$

By (15)(b) and the definition of  $N_1$ ,

$$(a) w_\beta u_\alpha \in A(D), \quad (b) u_\alpha \notin N_1. \tag{20}$$

By the 4-cycle  $(u_i, v_1, w_\beta, u_\alpha, u_i)$ ,

$$\{u_i, w_\beta\} \text{ is independent for } 1 \leq i < \alpha. \tag{21}$$

Let  $i < \alpha$ . If  $w_1 u_i \in A(D)$ , then  $\{u_i, w_l\}$  is independent by the 4-cycle  $(w_l, w_1, u_i, v_1, w_l)$ . In this case  $(u_\alpha, u_i, u_1, v_1, w_l, w_\beta, w_1, u_\alpha)$  induces a  $\vec{C}_7(1, 2)$  and by Remark 1,  $D \cong \vec{C}_7(1, 2)$ .

Hence, we may assume that for every  $i < \alpha$ ,  $w_1 u_i \notin A(D)$ , and by the path  $(w_1, u_\alpha, u_i)$ ,

$$\{u_i, w_1\} \text{ is independent for } i < \alpha. \tag{22}$$

In Fig. 2, we show the arcs that must be in the digraph  $D$ . With dashed lines we indicate the independent sets as well as the arcs that are not in  $D$ .

We will analyze separately the two cases:  $\alpha < n$  or  $\alpha = n$ .

**Case I**  $\alpha < n$ .

Let  $N_u$  be a kernel of  $D - \{u_n\}$ . Then  $U \cap N_u = \emptyset$ . By (14)(a) and (15),  $(u_\alpha, W) = \emptyset$ , so there is a vertex  $v_\gamma \in V \cap N_u$  such that  $u_\alpha v_\gamma \in A(D)$ . By definition of  $N_w = \{u_\alpha, v_1\}$ ,  $\gamma > 1$ .

By the path  $(u_n, u_\alpha, v_\gamma)$  and the definition of  $N_u$ , by the paths  $(v_\gamma, v_1, w_l)$  and  $(v_\gamma, v_1, w_\beta)$ ,

$$(a) \{u_n, v_\gamma\} \text{ is independent,} \quad (b) w_l v_\gamma, w_\beta v_\gamma \notin A(D). \tag{23}$$

Since  $\gamma > 1$  and  $v_1 v_\gamma \notin A(D)$ , by (12), there is a vertex  $w_\delta \in W \cap N_u$  such that  $v_1 w_\delta \in A(D)$ . So  $\delta > 1$ , and  $N_u = \{v_\gamma, w_\delta\}$ . By the definition of  $N_u$ ,  $\{v_\gamma, w_\delta\}$  is independent. Moreover,  $v_i w_\delta \in A(D)$  for  $1 \leq i < \gamma$  and

$$w_i v_\gamma \in A(D) \quad \text{for } 1 \leq i < \delta. \tag{24}$$

By Lemma 2, (23)(a) and (17)(b),

$$(a) w_\delta u_n \in A(D), \quad (b) 1 < \delta < l.$$



By definition of  $N_u$  and the path  $(u_i, u_\alpha, v_\gamma), v_\gamma u_i \notin A(D)$  for  $i > \alpha$ , and so, by the path  $(w_\delta, u_n, u_i)$ , with  $i < n$ , we have that  $(U, w_\delta) = \emptyset$ . Since  $N_u$  is kernel of  $D - \{u_n\}$ ,

$$(a) u_i v_\gamma \in A(D), \quad \text{for } 1 \leq i < n, \quad (b) v_i u_1 \notin A(D) \quad \text{for } i < \gamma. \tag{25}$$

By (23)(b) and the 4-cycle  $(v_1, w_\beta, u_\alpha, v_\gamma, v_1), \{v_\gamma, w_\beta\}$  is independent and by (24),  $\delta \leq \beta$ . By (25)(a), we have that  $v_\gamma \notin N_1$  and so, by (24) and the definition of  $N_u, (v_\gamma, U \cup W) = \emptyset$ .

So, there is a vertex  $v_\varepsilon \in V \cap N_1$  such that  $1 < \varepsilon < \gamma$  by (19)(a) and (19)(b). By (25)(b),  $\{u_1, v_\varepsilon\}$  is independent. By the definition of  $N_1$  and Lemma 2,  $N_1 \cap U \neq \emptyset$ . Otherwise, by the fact that  $\{u_1, v_\varepsilon\}$  is independent and (17)(a), it follows that  $N' = \{u_1, v_\varepsilon, w_\beta\}$  independent. Moreover,  $N'$  is a kernel of  $D$ , which is a contradiction.

Let  $N_1 = \{u_\chi, v_\varepsilon, w_\beta\}$ . By the 4-cycles  $(u_1, w_l, w_\delta, u_n, u_1), (u_\alpha, v_\gamma, v_\varepsilon, w_\delta, u_\alpha)$  and  $(u_i, v_1, w_\delta, u_\alpha, u_i)$ , it follows that  $\{u_n, w_l\}, \{u_\alpha, v_\varepsilon\}$  and  $\{u_i, w_\delta\}$  are independent sets for  $i < \alpha$ . Then  $\{u_n, v_1\}$  is independent by the 4-cycle  $(u_1, v_1, w_\delta, u_n, u_1)$ .

By (20)(a), (20)(b) and the independent set  $\{u_\alpha, v_\varepsilon\}$ , it follows that  $\chi < \alpha$ . By (22) and the path  $(v_\varepsilon, w_\delta, w_1), (w_1, N_1) = \emptyset$  which contradicts that  $N_1$  is a kernel of  $D - \{u_1\}$ .

So, the case  $\alpha < n$  leads to a contradiction.

**Case 2**  $\alpha = n$ .

In this case, by Claim 3 and (14)(a), we obtain that  $\{u_n, v_1\}$  and  $\{u_n, w_l\}$  are independent sets,  $N_w = \{u_n, v_1\}$ , by (20)  $u_n \notin N_1$  and by (15)(b),

$$w_i u_n \in A(D) \quad \text{for every } 1 \leq i < l. \tag{26}$$

Since  $(w_1, U \setminus \{u_n\} \cup \{w_\beta\}) = \emptyset$ , there is a vertex  $v_\gamma \in N_1, w_1 v_\gamma \in A(D)$ . By (19)(b),  $1 < \gamma$ . By the 4-cycles  $(w_1, v_\gamma, v_1, w_\beta, w_1)$  and  $(w_1, v_\gamma, v_1, w_l, w_1)$  the following sets are independent,

$$(a) \{v_\gamma, w_\beta\}, \quad (b) \{v_\gamma, w_l\}. \tag{27}$$

**Claim 4.** If  $u_n v_\gamma, v_\gamma u_1 \in A(D)$ , then  $D \cong \vec{C}_7(1, 2)$ .

Let  $u_n v_\gamma \in A(D), v_\gamma u_1 \in A(D)$ , then  $(u_n, v_\gamma, u_1, v_1, w_l, w_\beta, w_1, u_n)$  induces a  $\vec{C}_7(1, 2)$  and by Remark 1,  $D \cong \vec{C}_7(1, 2)$ .  $\square$

If  $N_1 = \{v_\gamma, w_\beta\}$ , then  $u_n v_\gamma \in A(D)$  by the definition of  $N_1$  and by Lemma 2,  $v_\gamma u_1 \in A(D)$  and  $D \cong \vec{C}_7(1, 2)$  by Claim 4. So,

$$N_1 \cap (U \setminus \{u_1\}) \neq \emptyset. \tag{28}$$

Let  $N_v$  be a kernel of  $D - \{v_m\}$ . Then  $N_v \cap V = \emptyset$ .

Since  $m > 1$  and  $(v_1, U) = \emptyset$ , then  $w_\rho \in N_v$  for some  $\rho > 1$  and  $v_1 w_\rho \in A(D)$ . Then  $w_1 \notin N_v$  and since  $(w_1, U \setminus \{u_n\}) = \emptyset$ , then  $u_n \in N_v$ . Hence, by (15),  $\rho = l$  and  $N_v = \{u_n, w_l\}$ . By Lemma 2 and the path  $(v_m, v_1, w_l)$  it follows that  $\{v_m, w_l\}$  is independent and  $u_n v_m \in A(D)$ . By the path  $(u_n, v_m, v_i)$  and the definition of  $N_v$ , it follows that  $v_i w_l \in A(D)$  for all  $i < m$ .

If  $\gamma < m$ , then by the path  $(u_n, v_m, v_\gamma)$  and by (27)(b) it follows that  $(v_\gamma, N_v) = \emptyset$ , which contradicts that  $N_v$  is a kernel of  $D - \{v_m\}$ . Then  $\gamma = m$ .

By definition of  $N_v, u_i w_l \in A(D)$ , for  $i < n$ , then by and Lemma 2,  $\{v_m, w_l\}$  is independent and,

$$u_n v_m \in A(D). \tag{29}$$

By Claim 4 and the definition of  $N_1$ , we may assume that  $\{u_1, v_m\}$  is independent. By the path  $(u_n, v_m, v_i)$ , it follows that  $v_i u_n \notin A(D)$ . By the definition of  $N_v$ , it follows that  $v_i w_l \in A(D)$ . By the 4-cycle  $(u_i, w_l, w_j, u_n, u_i)$ ,

$$\{u_i, w_j\} \text{ is independent for } i < n \text{ and } j < l. \tag{30}$$

By (28) and (29),

$$N_1 = \{u_\chi, v_m, w_\beta\} \quad \text{for some } 1 < \chi < n. \tag{31}$$

Hence, there exists  $u_2 \neq u_n$ . Let  $N_2$  be a kernel of  $D - \{u_2\}$ . Then  $u_1, v_1, w_l \notin N_2$ .

In this case,  $v_y \in N_2$  for some  $1 < y < m$ , else  $(u_1, N_2) = \emptyset$ . Notice that,  $v_m \notin N_2$  and then,  $w_z \in N_2$  for some  $1 < z < l$ , else  $(v_1, N_2) = \emptyset$ . Also,  $w_1 \notin N_2$  and then,  $u_n \in N_2$ , else  $(w_1, N_2) = \emptyset$ . Hence,  $N_2 = \{u_n, v_y, w_z\}$ . By (26), for  $i < l, w_i u_n \in A(D)$  and since  $w_1 \notin N_2$ , then  $N_2 \cap W = \emptyset$ , which contradicts that  $N_2 = \{u_n, v_y, w_z\}$ .

So Case 2, is settled.  $\square$

As a summary of Propositions 3–6, we have the following.

**Theorem 7.** Let  $D$  be an asymmetric CKI-digraph with covering number 3. Then  $D \cong \vec{C}_5$  or  $D \cong \vec{C}_7(1, 2)$ .

**Proof.** We analyze all the possibilities for  $D[\{u_1, v_1, w_1\}]$ . If  $D[\{u_1, v_1, w_1\}]$  has no arcs, then  $\{u_1, v_1, w_1\}$  is a kernel of  $D$ , which is a contradiction. If  $D[\{u_1, v_1, w_1\}]$  has exactly one arc, then by Proposition 6,  $D \cong \vec{C}_5$  or  $D \cong \vec{C}_7(1, 2)$ . By Propositions 3 and 4, if  $D[\{u_1, v_1, w_1\}]$  has at least two arcs, then  $D[\{u_1, v_1, w_1\}]$  is a path of length two and hence, by Proposition 5,  $D \cong \vec{C}_5$  or  $D \cong \vec{C}_7(1, 2)$ .  $\square$

As a summary of Corollaries 1 and 2(i) and Theorems 6 and 7, we have the following.

**Proposition 7.** Let  $D$  be a CKI-digraph with covering number at most three.

- (i) The covering number of  $\text{Asym}(D)$  is one if and only if  $D \cong \vec{C}_3$ .
- (ii) The covering number of  $D$  is one if and only if  $D \cong \vec{C}_m(1, \pm 2, \pm 3, \dots, \pm \lfloor \frac{m}{2} \rfloor)$ .
- (iii) The covering number of  $\text{Asym}(D)$  is two if and only if  $D \cong \vec{C}_4(1, 2)$ .
- (iv) The covering number of  $D$  is not equal to two.
- (v) If  $D$  is an asymmetric digraph, then the covering number of  $D$  is three if and only if  $D \cong \vec{C}_5$  or  $D \cong \vec{C}_7(1, 2)$ .

**Proposition 8.** Let  $D$  be a KP-digraph with covering number at most three.

- (i) The covering number of  $D$  is at most two if and only if  $D$  is  $\vec{C}_3$ -free.
- (ii) The covering number of  $\text{Asym}(D)$  is two if and only if  $D$  has no induced subdigraph isomorphic to  $\vec{C}_3$  nor to  $\vec{C}_4(1, 2)$ .
- (iii) Let  $D$  be asymmetric and  $\sigma(\text{asym}(D)) = 3$ . Then  $D$  is a KP-digraph if and only if  $D$  has no induced subdigraph isomorphic to  $\vec{C}_3, \vec{C}_4(1, 2), \vec{C}_5$  nor  $\vec{C}_7(1, 2)$ .

### 5. Consequences of the results

In this section we review some previous results that can be obtained with our results in case that  $\sigma(D) \leq 2$ ,  $\sigma(\text{Asym}(D)) \leq 2$  and in case that the asymmetric digraph  $D$  has covering number three.

**Theorem 8** (Theorem 1.4 [15]). If  $D$  is a digraph with  $\sigma(D) \leq 2$  such that each directed cycle of length 3 has two symmetrical arcs, then  $D$  is a KP-digraph.

**Proof.** Since each directed cycle of length 3 has two symmetrical arcs, then  $D$  has no induced  $\vec{C}_3$  nor an induced  $\vec{C}_4(1, 2)$  because  $(0, 1, 2, 0)$  is directed cycle of length 3 with exactly one symmetrical arc. So, by Theorem 8(i),  $D$  is a KP-digraph.  $\square$

Hence Theorem 1.4 [15] is a consequence of Theorem 8.

**Theorem 9** (Theorem 2.3 [15]). Let  $D$  be a digraph with  $\sigma(D) \leq 3$  such that each directed cycle of length 3 is symmetrical. If every directed cycle of length 5 has two diagonals, then  $D$  is a KP-digraph.

**Proof.** Since each directed cycle of length 3 is symmetrical,  $D$  has no induced cycles of length 3 and hence by Theorem 8(i),  $D$  is a KP-digraph in case  $\sigma(D) \leq 2$ . Let  $D$  be asymmetric with  $\sigma(D) = 3$ . Every directed cycle of length 5 has two diagonals, so  $D$  has no induced cycle of length 5. Moreover,  $D$  has no induced  $\vec{C}_7(1, 2)$  because the 5-cycle  $(0, 1, 2, 4, 5, 0)$  has only one diagonal, namely the arc  $(0, 2)$ . By Theorem 8(ii),  $D$  is a KP-digraph.  $\square$

**Theorem 10** (Theorem 2.4 [15]). Let  $D$  be a digraph with  $\sigma(D) \leq 3$ , but without directed cycles of length 3. If every directed cycle of length 5 has two diagonals, then  $D$  is a KP-digraph.

**Proof.** Analogously to the proof of Theorem 9.  $\square$

Hence, Theorems 2.3 and 2.4 [15] are both consequences of Theorem 8.

**Theorem 11** (Theorem 2.1 [14]). Let  $D$  be a digraph such that every directed triangle has two symmetric arcs and  $\sigma(D) \leq 3$ . If each directed cycle  $C$  of length 5 in  $D$  satisfies at least one of the following properties: (a)  $C$  has two diagonals, (b)  $C$  has three symmetrical arcs, then  $D$  is a KP-digraph.

**Proof.** Analogously to the proof of Theorem 9.  $\square$

Hence, Theorem 2.1 [14] is a consequence of Theorem 8.

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